

06-27-06

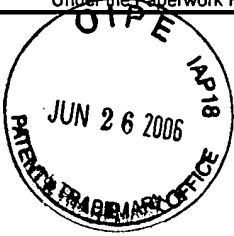
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PTO/SB/92 (09-04)

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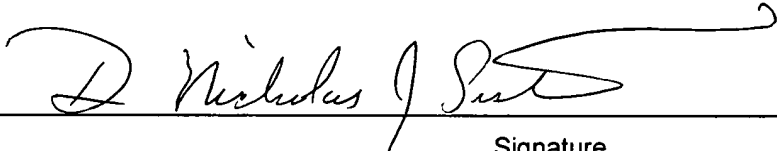
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Dr. Nicholas J. Sisti

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54,453

Registration Number, if applicable

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Supplemental IDS Statement (2 pgs)

IDS-1449 (2 pgs)

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Docket: 453-US-PCT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Heidi Lopez de Diego  
Application No.: 10/568,572  
Filed: February 16, 2006  
Group Art Unit: Not Yet Assigned  
Examiner: Not Yet Known  
For: Succinate and Malonate Salt of Trans-4-((1R,3S)-6-Chloro-3-Phenylindan-1-yl)-1,2,2-trimethylpiperazine and the Uses as a Medicament

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir/Madam:

In order to ensure compliance with applicants' duty of disclosure under 37 C.F.R. §1.56 and §1.97(a)-(d), applicants request that the following reference be considered and made of record in the above-identified application:

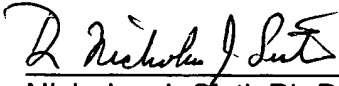
1. WO 93/08799 (Smith-Kline Beecham Corporation, 13 May 1993;
2. Klaus P. Bogeso in "Drug Hunting, the Medicinal Chemistry of 1-Piperazino-3-phenylindans and Related Compounds", **1998**, ISBN 87-88085-10-4;
3. *Bogeso J. Med. Chem.* **1983**, 26, 935;
4. *J. Org. Chem.* **1990**, 55, 4822;
5. Froimowits, et al., *J. Med. Chem.* **2000**, 43, 4981-4992;
6. Millan *et al.*, *JPET*, **2000**, 292, 38-53;
7. Seeman *Science and Medicine* **1995**, 2, 28-37;
8. D.N.Eder, *Current Opinion in Investigational Drugs*, **2002**, 3 (2):284-288;
9. P. Willner, *Brain. Res. Rev.* **1983**, 6, 211-224, 225-236 and 237-246; and
10. *Bogeso J. Med. Chem.*, 1985, 28, 1817-182.

Applicants are filing this Supplemental Information Disclosure Statement under 37 C.F.R. 1.97(b)(3), before the mailing of a first Office Action on the merits. Accordingly, no fee is deemed necessary in connection with the filing of this Supplemental Information Disclosure Statement. However, in the event that a first Office Action has been mailed but has not yet been received by applicant or connected with the application filed in the undersigned attorney's office, applicants hereby request, pursuant to 37 C.F.R. §1.98(c)(2), consideration of this Supplemental Information Disclosure Statement, and authorization is hereby given to charge to Deposit Account No. 503201, ONE HUNDRED AND EIGHTY DOLLARS (\$180.00), which is the amount of the fee under 37 C.F.R. 1.17(p), for filing a Supplemental Information Disclosure Statement pursuant to 37 C.F.R. 1.97(c)(2).

It is requested that the Examiner consider the above information and that a copy of the enclosed Form PTO/SB/08a be initialed and returned indicating that such information has been considered. Applicants respectfully request that the Examiner make the cited references of record in the subject application.

If a telephone interview would be of assistance in advancing the prosecution of the subject application, applicants' undersigned attorney invites the Examiner to telephone her at the number provided below.

Respectfully submitted,

 June 26, 2006  
Nicholas J. Sisti, Ph.D., J.D.  
Registration No. 54,453

Lundbeck Research USA, Inc.  
215 College Road  
Paramus, New Jersey 07652  
(201) 312-5084 (phone); (201) 225-9571 (fax)

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

**Complete if Known**

Application Number	10/568572
Filing Date	February 16, 2006
First Named Inventor	Heidi Lopez de Diego
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Known
Attorney Docket Number	453-US-PCT

Sheet	1	of	2
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## U. S. PATENT DOCUMENTS

[illegible]

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
		WO 93/08799	05-13-1993	Smith-Kline Beecham Corp.		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)	<b>Application Number</b>		10/568572
	<b>Filing Date</b>		February 16, 2006
	<b>First Named Inventor</b>		Heidi Lopez de Diego
	<b>Art Unit</b>		Not Yet Assigned
	<b>Examiner Name</b>		Not Yet Known
	<b>Attorney Docket Number</b>		453-US-PCT

Sheet

2

of

2

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Klaus P. Bogeso in "Drug Hunting, the Medicinal Chemistry of 1-Piperazino-3-phenylindans and Related Compounds", 1998, ISBN 87-88085-10-	
		Bogeso J. Med. Chem. 1983, 26, 935,	
		J. Org. Chem. 1990, 55, 4822	
		Froimowits, et al., J. Med. Chem. 2000, 43, 4981-4992	
		Millan et al., JPET, 2000, 292, 38-53	
		Seeman Science and Medicine 1995, 2, 28-37	
		D.N.Eder, Current Opinion in Investigational Drugs, 2002, 3 (2):284-28	
		P. Willner, Brian. Res. Rev. 1983, 6, 211-224, 225-236 and 237-2	
		Bogeso J. Med. Chem., 1985, 28, 1817-1828	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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